

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

NOV 14 2003

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
CSO-0001-P

Re Application Of: Sophie Chen

Serial No.
10/647,458

Filing Date
8/1/2003

Examiner

Group Art Unit

Title:

BOTANICAL EXTRACT COMPOSITIONS AND METHODS OF USE

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

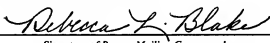
37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

<div style="float: left; border: 1px solid black; padding: 2px; margin-bottom: 5px;"> RECEIVED NOV 14 2003 PATENT & TRADEMARK OFFICE </div> TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))		Docket No. CSO-0001-P	
Re Application: Sophie Chen			
Serial No. 10/647,458	Filing Date 8/1/2003	Examiner	Group Art Unit
<p style="text-align: center;">BOTANICAL EXTRACT COMPOSITIONS AND METHODS OF USE</p>			
<p style="text-align: center;">Payment of Fee</p> <p style="text-align: center;">(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))</p> <p> <input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below. <input type="checkbox"/> Charge the amount of _____ <input type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required. </p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>Certificate of Transmission by Facsimile*</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F): _____ (Date) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ Signature </div> <div style="border: 1px solid black; padding: 5px;"> _____ Typed or Printed Name of Person Signing Certificate </div> </div> <div style="width: 45%;"> <p>Certificate of Mailing by First Class Mail</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I certify that this document and fee is being deposited on 11/12/2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">  Signature of Person Mailing Correspondence </div> <div style="border: 1px solid black; padding: 5px;"> Rebecca L. Blake Typed or Printed Name of Person Mailing Certificate </div> </div> </div> <p>*This certificate may only be used if paying by deposit account.</p> <div style="display: flex; justify-content: space-between; align-items: flex-end; margin-top: 20px;"> <div style="width: 40%;"> <p><u><i>Karen A. LeCuyer</i></u> Signature</p> <p>Karen A. LeCuyer Registration No. 51,928 Customer No. 23413 Telephone No. 860-286-2929</p> </div> <div style="width: 50%;"> <p>Dated: November 12, 2003</p> </div> </div> <p>CC:</p>			

**INFORMATION DISCLOSURE CITATION***(Use several sheets if necessary)*

Docket Number (Optional)

CSO-0001-P

Applicant(s)

10047438 - GAU: 1612

10/647,458

Applicant(s)

Sophie Chen

Filing Date

8/1/2003

Group Art Unit

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

Ikezo et al., "Oridonin Induces Growth Inhibition and Apoptosis of a Variety of Human Cancer Cells", Int. J. Oncol. 23: 1187-1193, 2003

EXAMINER

/Chris Simmons/

DATE CONSIDERED

06/04/2009

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

P03B/REV04

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH, /C.S./

SHEET 1 OF 1